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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

SHIPP, A.

Atty. Ref.: 117-513

Serial No. 10/500,959

TC/A.U.: 2131

Filed: July 8, 2004

Examiner: TBA

For: METHOD OF, AND SYSTEM FOR, SCANNING ELECTRONIC
DOCUMENTS WHICH CONTAIN LINKS TO EXTERNAL OBJECTS

* * * * *

July 31, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

1. ☒ This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No statement under 37 C.F.R. § 1.97(e) or fee is required. In the event, a first Office Action has been mailed prior to filing of the present Information Disclosure Statement, the Office is requested to treat the present paper s a submission under 37 C.F.R. § 1.97(c) and charge the undersigned's Deposit Account No. 14-1140 for the fee required by 37 C.F.R. § 1.17(p). The present paper is submitted in duplicate for this purpose.

2. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

c. ☐ Attached is our check in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

d. ☐ Patent Term Adjustment

For purposes of patent term adjustment under 37 C.F.R §1.704, each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in Section

1.56(c) more than 30 days prior to the filing of the Information Disclosure Statement. 37 C.F.R. §1.704(d).

3. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(i).

a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

4. ☐ Relevance of the non-English language document(s) is discussed in the present specification.

5. ☐ The document(s) were cited in an office action issued in a corresponding Japanese application.

6. ☐ A concise explanation of the relevance of the non-English language document(s) appears below:

7. ☐ The Examiner's attention is directed to co-pending U.S. Patent Application No. , filed , (copy attached) which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

SHIPP, A.
Application No. 10/500,959

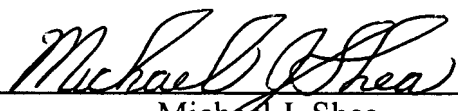
8. ☐ Copies of the documents were cited by or submitted to the Office in Application No. , filed , which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 14-1140 referencing docket number 117-513.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Atty. Docket No.

117-513

Applicant

SHIPP, A.

Filing Date

July 8, 2004

Serial No.

10/500,959

TC/A.U.

TBA



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2003/0097591	05/2003	Pham et al.			
	2004/0073631	04/2004	Keorkunian et al.			
	2004/0088570	5/2004	Roberts et al.			
	2004/0117450	06/2004	Campbell et al.			
	2004/0177042	09/2004	Fostick, Gideon			
	2004/0243844	12/2004	Adkins, Reginald			
	2005/0055569	03/2005	Shipp			
	2005/0071748	03/2005	Shipp			
	2005/0086499	04/2005	Hoefelmeyer et al.			
	2005/0256955	11/2005	Bodwell et al.			
	5,781,901	07/1998	Kuzma			
	6,298,444	10/2001	Foss et al.			
	6,321,242	11/2001	Fogg et al.			
	6,629,138	09/2003	Lambert et al.			
	6,701,440	03/2004	Kim et al.			

FOREIGN PATENT DOCUMENTS

PATENT ABSTRACT DOCUMENTS							TRANSLATION	
DOCUMENT			DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
		01/33380 A1	05/2001	WO				
		2 356 474 A	05/2001	GB				
		2004/097676	11/2004	WO				
		2004/017238	02/2004	WO				
		00/65483	11/2000	WO				
		1 058 199	12/2000	EP				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

	WIEGEL B ED; "Association for Computing Machinery: "Secure External References in Multimedia Email Messages"; 3 rd ACM Conf. on Computers and Communications Security, New Dehli, March 14-16, 1996; ACM Conf. on Computers and Communications Security, New York, ACM, US. Vol. Conf. 3 March 14, 1996, Pgs. 11-18; XP000620973; ISBN: 0-89791-829-0; abstract pp. 1-4.
	GREENFIELD P. ET AL.; "Access Prevention techniques for Internet Content Filtering"; CSIRIO, December 1999 (1999-12); XP002265027; pp. 7-14, pp. 17-33
	"Look Ahead Filtering of Internet Content" IBM Technical Disclosure Bulletin, IBM Corp., New York, US; Vol. 40, No. 12; December 1, 1997, pp. 143; XP000754118; ISSN: 0018-8689

*Examiner	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.